

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Vankatraman et al.	)	
	)	Group Art Unit: Unassigned
Serial No: Unassigned	)	
	)	Examiner: Unassigned
Filed: 06/30/03	)	
	)	
For: TRANSDERMAL DRUG DELIVERY	)	
DEVICES COMPRISING A	)	
POLYURETANE DRUG	)	
RESERVOIR	)	
	)	

Mail Stop Petition  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants submit herewith patents, publications and other information of which they are aware, which they believe an examiner may consider to be material to the patentability of the claims of this application, and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is either "material" or "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such. Applicants specifically reserve the right, where appropriate, to avoid or antedate any such reference by the appropriate arguments or showings under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

A completed PTO Form 1449 listing each reference which is not a U.S. Patent application is submitted herewith, and it respectfully requested that an Examiner initialed copy of the PTO Form 1449 be returned to the undersigned.

☒ A copy of each reference therein listed on PTO Form 1449 (Part A) accompanies this Information Disclosure Statement.

**-AND-**

☒ NO copies of the references therein listed ON PTO form 1449 (Part B) accompany this Information Disclosure Statement under 37 C.F.R. § 1.98(d), as each was previously cited by or submitted to the Patent Office in prior application Serial No. 09/540,842, filed 03/31/00, which this application relies upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists.

☒ This Information Disclosure Statement is being filed within three months of the filing or entry of the national stage of this application, or before the mailing of a first Office Action on the merits, whichever is later. No fee is required under 37 C.F.R. § 1.97(b). However, in the event that more than 90 days from filing have elapsed and a first Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the fee required under 37 C.F.R. 1.17(p) to Deposit Account Number 10-0750.

☐ This Information Disclosure Statement is being submitted *after* three months from the date of filing or entry of the national stage or this application, or *after* the mailing of a first Office Action, whichever is later, but *before* the mailing date of either a final Office Action or a Notice of Allowance.

☒ The Commissioner is hereby authorized to charge the fee required under 37 C.F.R. § 1.17(p) to Deposit Account 10-0750

**-OR-**

☐ Certification as specified in 37 C.F.R. § 1.97(e) follows:

☐ *Each* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of this statement.

☐ *No* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any

individual designated in § 1.56(c) more than three months prior to the filing of this  
Information Disclosure Statement.

The Examiner is hereby requested to consider these references and other information and make them of  
record in the above-referenced application. Applicants respectfully submit that the claims of this application  
are patentable over the above-cited references and information. Examination and allowance of this application  
at an early date are requested.

Respectfully submitted,

Date: June 30, 2003

By:



Vandana Date, Reg. No. 38,675

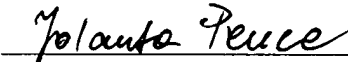
ALZA Corporation c/o Johnson & Johnson  
1 Johnson & Johnson Plaza, WH 3221  
New Brunswick, NJ 08933  
Tel. 650-564-5909  
Fax. 650-564-2195

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class  
Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on June 30, 2003.

ALZA CORPORATION

BY:

  
Jolanta Pence

[illegible]

Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

ARC 2869 RI

SERIAL NO.

09/540,842

APPLICANT(S)

VENKATRAMAN, Subramanian S., et al.

FILING DATE

3/31/00

GROUP

1615

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1 3,598,122	11/82	Zaffaroni	128	268	
	3,598,123	08/71	Zaffaroni	128	268	
	3,731,683	05/73	Zaffaroni	128	268	
	3,797,494	03/74	Zaffaroni	128	268	
	4,031,894	06/77	Urquhart et al.	128	268	
	4,144,317	03/79	Higuchi et al.	424	21	
	4,201,211	05/80	Chandrasekaran et al.	128	268	
	4,286,592	09/81	Chandrasekaran	128	260	
	4,314,557	02/82	Chandrasekaran	128	260	
	4,379,454	04/83	Campbell et al.	604	897	
	4,435,180	03/84	Leeper	604	896	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>ARC 2869R1</b>	SERIAL NO. <b>09/540,842</b>
	APPLICANT(S) <b>VENKATRAMAN, Subramanian S., et al.</b>	
	FILING DATE <b>3/31/00</b>	GROUP <b>1615</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,470,962	09/84	Keith et al.	424	28	
	4,483,759	11/84	Szycher et al.	204	159.24	
	4,523,005	06/85	Szycher	528	76	
	4,559,222	12/85	Enscore et al.	424	28	
	4,568,343	02/86	Leeper et al.	604	896	
	4,588,580	05/86	Gale et al.	424	21	
	4,626,539	12/86	Aungst et al.	514	282	
	4,638,043	01/87	Szycher et al.	528	75	
	4,645,502	02/87	Gale et al.	604	896	
	4,698,062	10/87	Gale et al.	604	896	
	4,704,282	11/87	Campbell et al.	424	449	

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	APPLICANT(S) <b>VENKATRAMAN, Subramanian S., et al.</b>	
	FILING DATE <b>3/31/00</b>	GROUP <b>1615</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,725,272	02/88	Gale	424	448	
	4,746,509	05/88	Haggiage et al.	424	449	
	4,781,924	11/88	Lee et al.	424	449	
	4,788,062	11/88	Gale et al.	424	449	
	4,816,258	03/89	Nedberge et al.	424	448	
	4,849,226	07/89	Gale	424	448	
	Re.32,991	07/89	Szycher et al.	528	75	
	4,904,475	02/90	Gale et al.	424	449	
	4,906,463	03/90	Cleary et al.	424	78	

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					YES	NO

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	4,911,916	03/90	Cleary	424	449	
	4,908,027	03/90	Enscore et al.	604	890.1	
	4,917,895	04/90	Lee et al.	424	448	
	4,938,759	07/90	Enscore et al.	604	896.1	
	4,943,435	07/90	Baker et al.	424	448	
	5,004,610	04/91	Osborne et al.	424	448	
	5,006,342	04/91	Cleary et al.	424	445	
	5,071,656	12/91	Lee et al.	424	448	
	5,118,779	06/92	Szycher	528	75	
	5,141,750	08/92	Lee et al.	424	448	
	5,186,939	02/93	Cleary et al.	424	448	

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						YES	NO

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,273,757	12/93	Jaeger et al.	424	448	
	5,342,623	08/94	Enscore et al.	424	448	
	5,411,740	05/95	Lee et al.	424	448	
	5,474,783	12/95	Miranda et al.	424	448	
	5,536,759	07/96	Ramharack et al.	522	35	
	5,569,683	10/96	Bootman et al.	523	102	
	5,635,203	06/97	Gale et al.	424	448	
	5,641,506	06/97	Talke et al.	424	443	
	5,662,923	09/97	Roreger	424	445	
	5,662,926	09/97	Wick et al.	424	448	
	5,688,523	11/97	Garbe et al.	424	448	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>ARC 2869 R1</b>	Application Number <b>09/540,842</b>
	Applicant(s) <b>VENKATRAMAN, Subramanian S., et al.</b>	
	Filing Date <b>3/31/00</b>	Group Art Unit <b>1615</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,670,164	09/97	Meconi et al.	424	448	
		5,762,952	06/98	Barnhart et al.	424	448	

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP 81/142210		Eiji, et al.				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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